Colorado Division of Workers' Compensation

Department of Labor and Employment (DOWC)

EDI Claims Release 3.1

Implementation Guide

COLORADO
Division of Workers' Compensation
Department of Labor and Employment

Version 1.3

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Preface

The Division of Workers' Compensation (DOWC) is excited to announce that it's moving forward with changes to the Electronic Data Interchange (EDI) utilized by the DOWC. Claims administrators use this system to electronically file First Reports of Injury and Notice of Contests with the DOWC. The DOWC is upgrading from the International Association of Industrial Accident Boards and Commissions (IAIABC) EDI Claims Release 1.0 to EDI Claims Release Standard 3.1 effective July 9, 2026.

This Implementation Guide is designed to assist Insurers, Self-Insurers, and Claim Administrators with reporting first reports of injury and subsequent reports of injury to the Division. It will also serve as a tool during the EDI set up process for the transition from Release 1.0 to Release 3.1.

Accurate and timely information is vital to how well the DOWC serves the workers' compensation community. The EDI Claims Release 3.1 system will facilitate improvement in both areas. The requirements in both the data tables and this implementation guide were developed to minimize the impact of transitioning to a new standard while also insuring the accurate reporting of claim information. The following sections provide the necessary information for understanding how to conduct EDI business with the DOWC as well as references to other important documents. Thank you for participating with us in maintaining and improving Colorado's EDI reporting system.

If there are any questions about any of the information provided in this guide, please direct all inquiries to: codowcedi@verisk.com.

For questions and feedback specific to 3.1, please email codowcedi@verisk.com. All other inquiries should be directed to cdle_workers_compensation@state.co.us. Thank you for participating with us in maintaining and improving Colorado's efficient and effective Workers' Compensation system.

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Section 1: Forward

A. IAIABC EDI Claims Release 3.1 Standards and Documentation

1. The DOWC has adopted standards developed by the International Association of Industrial Accident Boards and Commissions (IAIABC). The IAIABC is a not-for-profit trade association which neutrally represents the interests of government and the workers' compensation insurance industry.

2. The DOWC has designed this EDI Claims Implementation Guide to assist insurers, self-insured employers and claims administrators with the transition from Release 1 reporting to Release 3.1 reporting. It serves as an information resource for trading partners operating in Colorado and provides Colorado-specific requirements. The DOWC Guide should be used in conjunction with the most current version of the IAIABC EDI Implementation Guide for Claims Release 3.1. The IAIABC Guide is the authority on the EDI Claims Release 3.1 standard. The IAIABC holds a copyright on the Guide and while members of the IAIABC may obtain it without cost, non-members should purchase a copy. You may contact the IAIABC by visiting their website at https://www.iaiabc.org/.

B. The Evolution of the EDI Claims Reporting Standards

1. In the 1970s, various state legislatures began questioning how their workers' compensation systems were working compared to other state systems, and in the 1980s the International Association of Industrial Accident Boards and Commissions (IAIABC) created a Statistics Committee, whose task it was to identify, compare, and standardize injury data across jurisdictional boundaries. As technology boomed in the 1990s, insurance carriers and jurisdictions met in an effort to continue the standardization process in order to develop electronic reporting of injury data. The result was the formulation of the IAIABC Electronic Data Interchange (EDI) Steering Committee, which created technical working groups. These groups focused on defining common data elements used when filing claims and developed a standard format for the electronic transfer of claims data from jurisdiction paper report of injury forms. Participants met under the auspices of the International Association of Industrial Accidents Boards and Commission (IAIABC) to satisfy antitrust requirements.

2. The discussions of this group resulted in the creation of a data element dictionary and a reporting event table that could be used by any state and which was based on the claims administrator claim handling process with consideration for the jurisdictional-required employer reports filing. This utilized existing and widely used data standards to leverage system enhancements implemented by many claims administrators and state administrators.

3. This process took several years to fine tune into the national standards existing today.

C. Colorado's EDI Partnership

1. DOWC announced a request for proposal to contract with a vendor to facilitate EDI Claims filing for Colorado's workers' compensation carriers, risk management pools, and self-insured employers and/or their claims administrators. In the context of EDI, claim administrators, carriers, self-insured employers, or their contracted vendors may be trading partners.

2. Verisk 's Workers Compensation Solutions division has been selected as the Colorado Vendor. Verisk will manage the technical aspects of data submittal, be your main contact for implementation, technical requirements, and other questions you may have. You can expect to hear from Verisk directly about EDI Claims Reporting for Colorado.

3. Although the DOWC does not endorse a particular vendor, the trading partner approval process ensures that only those approved with specific EDI Claims Release 3.1 experience and capability can offer their services to our trading partners. The approved vendors may offer varied services depending on the trading partners' needs. If a carrier, self-insured employer, or claims administrator selects a vendor, that vendor must complete the required testing to become an approved vendor. For a list of EDI Vendors, please contact the IAIABC at webmaster@iaiabc.org.

D. Colorado's EDI Implementation Dates

1. EDI Claims Release 3.1 for FROI and SROI transactions will begin July 9, 2026.

2. All trading partners must complete FROI and SROI testing and be ready for production by close of business July 8, 2026. The DOWC will work with DOWC's Vendor to provide initial and ongoing training and guidance to trading partners who need assistance regarding the proper reporting of claim information via EDI. It is imperative that trading partners become familiar with the Colorado EDI Claims Release 3.1 Event Table to know what claim events trigger reporting and the timelines in which a FROI or SROI must be transmitted to the DOWC.

E. Colorado Reporting Requirements

1. New Claims
   A FROI that is filed with the DOWC on or after EDI Claims Release 3.1 implementation requires an electronic FROI submission. All SROIs associated with a FROI submitted via EDI Claims Release 3.1 must also be submitted via EDI Claims Release 3.1. See Section Six for technical specifics.

2. Legacy Claims
   A legacy claim is one that was accepted prior to EDI Claims Release 3.1 implementation.
   Please see the DOWC Event Table (MTC UR) for filing requirements related to open Legacy Claims.

3. Jurisdiction Claim Number (JCN)
   Upon acceptance of the FROI, the DOWC Vendor will assign a jurisdiction claim number to the FROI for tracking and matching purposes. The jurisdiction claim number will then be returned to the trading partner via the electronic acknowledgement.

Section 2: EDI Implementation Management

1. EDI Claims is a method to transmit claims management data to meet jurisdictional reporting requirements. Ideally, EDI converts a manual process into an automated or software-assisted process to allow computer-to-computer communication. The initial implementation tasks are to assess the jurisdiction's requirements, compare those requirements to your company's manual and automated claims handling processes to determine the best business solution for your company.
2. The technical side of EDI has three major components:
   a) The computer-based claim processing system where claim data is stored
   b) An EDI management system or a component that contains jurisdiction requirements:
      - The required report types
      - The required timeline for each report
      - The jurisdiction's data requirements
      - The required edits
      - The jurisdiction's response to each report
      - The company's ability to resubmit rejected FROI and SROI transactions
   c) A system that manages the exchange of reports (FROI, SROI and Acknowledgments) between two or more parties (carriers, self-insured, trading partners, EDI Service Vendors, jurisdictions, etc.)
3. Due to the differences between the claim handling processes and electronic data systems of different claims administrators, each may have varying degrees of capability. Each should assess their own capabilities and make a determination of whether they will handle the EDI process internally by developing the software necessary to meet the DOWC requirements, by utilizing the web portal provided by Verisk for low volume filers, or by contracting with an experienced EDI Service Vendor.
4. The list below is not all inclusive. It provides key items to consider when evaluating how to comply with this EDI implementation.
   a) Determine whether EDI programming is in place with Colorado or other jurisdictions. If so, several of the steps immediately below may already have been completed.
   b) Review this implementation guide to ensure you understand the DOWC

EDI requirements. Identify the reporting requirements for each data element using the Event Table, Edit Matrix Table, and Element Requirements Table. These are available on the DOWC EDI Claims website at https://codowcedi.info/.

c) Go to iaiabc.org to obtain a copy of the current IAIABC EDI Claims Release 3.1 Implementation Guide.

d) Review the definition, found in the IAIABC Claims Release 3.1 Guide, of each data element listed in the EDI Requirement tables at https://codowcedi.info/. As part of the review, make note any difference between these definitions and those of your organization to develop a crosswalk.

e) Identify any Release 3.1 required elements in the DOWC tables that are not currently captured by your database so that they can be added.

f) Assess current EDI capabilities of your hardware and software. We strongly encourage you to stay informed of the current and future DOWC EDI requirements by monitoring the DOWC EDI website at https://codowcedi.info/ for updates or changes. Attend all training sessions. Request to be added to the EDI Claims Implementation email distribution list by sending in your request to codowcedi@verisk.com.

g) Establish a schedule for testing your FROI and SROI transmissions with our DOWC vendor Verisk.

h) You may wish to use business contacts to identify claims administrators who have participated in the development of the standards (IAIABC members), and/or have successfully implemented EDI in other states. Contact these organizations to discuss best practices for EDI implementation and check references of potential vendors.

Section 3: Reporting Processes and Options

1. DOWC's EDI reporting process includes:
    a) The capture of state required reporting data in the EDI Release 3.1 format.
    b) Editing for data content and quality.
    c) Managing communications (sending and receiving report transmissions).
    d) Managing acknowledgments, replacement reports, and corrections.

2. Acceptance and acknowledgement of an EDI Claims Release 3.1 transaction does not mean that the data is in compliance with all statutes and reporting requirements (i.e., amounts, timeliness, etc.).

3. The DOWC has contracted with a single vendor who will be the point source for all EDI transmissions. Transactions received from trading partners in Release

3.1 format will not incur a transmission cost from the DOWC vendor Verisk.

4. As noted in Section 2, above, each trading partner should evaluate their individual situation and to internally program to Colorado EDI Claims Release 3.1, or to retain a third-party to handle their data transmissions. An alternative is available from Verisk for low volume filers. Their email contact at codowcedi@verisk.com. You may also contract with an experienced EDI Service Vendor.

Section 4: EDI Trading Partner Process

1. Your business model may require you to take supplemental steps between the ones listed below. If you have release 3.1 experience with other jurisdictions, your company may have addressed some of the steps below.

2. If you need help completing the trading partner form found at https://codowcedi.info/ please email Verisk at codowcedi@verisk.com.

A. Contact the IAIABC to Obtain the EDI Claims Release 3.1 Implementation Guide

1. A thorough understanding of the IAIABC definitions and standards is required to be an EDI Trading Partner in Colorado. The IAIABC EDI Claims Release 3.1 Implementation Guide augments this. To obtain a current Claims Release 3.1 Implementation Guide and other publications visit the IAIABC web site at https://www.iaiabc.org/.

B. Designate an EDI Point of Contact

1. A company representative should provide an EDI point of contact and send updates to DOWC if that contact changes. This contact person should be able to speak on behalf of your organization and be knowledgeable about:

    a) EDI 3.1 MTCs, their usage, and requirements
    b) Be able to make changes on transactions
    c) Your EDI source data
    d) How to retrieve the source data
    e) Your business process and support systems

C. Review DOWC EDI Data Requirements and Reporting Claims

Refer to Section 6: Technical Requirements. This detailed section defines the reports required by Colorado and the business events or situations that trigger specific EDI transactions to be filed with DOWC.

D. Register with DOWC's Vendor to complete the EDI Trading Partner Acknowledgment Form

Although EDI Trading Partner Registration and EDI Trading Partner Acknowledgment are arranged through the DOWC Vendor, DOWC ultimately grants the final approval for a trading partner to submit FROI and SROI data. To register visit https://codowcedi.info/register.

Section 5: EDI Testing Requirements and Plans

During the testing period, and until the EDI trading partner is approved for Release 3.1 production status and the commencement date for mandated filings has arrived, all DOWC FROI and SROI filings required by Colorado must continue to be submitted via Release 1. The objective of testing is to confirm that all parties are adhering to the requirements documented in the EDI Trading Partner Acknowledgment Form and that the EDI interface is working properly.

Section 6: EDI Technical Requirements

A. Electronic Data Interchange Rules

"Electronic Data Interchange" or "EDI" means the electronic communication method that provides standards for exchanging data via electronic means. The term "EDI" encompasses the entire electronic data interchange process, including the transmission, message flow, document format, and software used to interpret the documents using the standards established by the IAIABC and the Release Version accepted by the Division at the time of the filing.

B. Electronic Data Reporting Format

DOWC selected and will utilize the IAIABC Claims Release 3.1 XML file format for EDI claim reporting. The FROI and SROI data sent to DOWC should be formatted in accordance with the IAIABC Claims Release 3.1 XML standard.

C. Maintenance Type Codes

A maintenance type code (MTC) is a code that indicates the type of transaction being submitted. Refer to the Event Table for MTC's accepted and the report timeliness.

D. Information and Data Reported

Each piece of information for electronic reports is defined as a data element. Please refer to the Section 6 of the IAIABC Claims Release 3.1 EDI Implementation Guide for definitions of each data element.

E. Employee ID Type Qualifier (DN0270)

1. SSN (DN0042): Requires Employee ID Type Qualifier of S.
2. Employee ID Assigned by Jurisdiction (DN0154):
   Requires Employee ID Type Qualifier of A.

   DOWC reserves Employee ID Assigned by Jurisdiction (DN0154) for only when you do not have a valid SSN.
   For claims accepted in Release 1 prior to 07/09/2026 (R3.1 Imp Date), in which DN0042 begins with a 9 and is known not to be a valid SSN, the claim administrator can send that value that begins with 9 in Employee ID Assigned by Jurisdiction (DN0154) or may continue to report it as DN0042.

   Effective 7/9/2026 (R3.1 Imp Date), for all new claims/First Reports (MTC 00, 04, AU) accepted in Release 3.1, DOWC will allow a default reported in DN0154 Employee ID Assigned by Jurisdiction however DN0042 Employee SSN is preferred. See DN0154 Employee ID Assigned by Jurisdiction on the Edit Matrix, Population Restriction Table for additional information.

   If the trading partner later learns the correct SSN, the trading partner should immediately notify the Division via EDI by filing the FROI 02.

F. Edit Matrix - Match Data

1. Match Data elements are used to identify a transaction as a new claim to create, or match to an existing claim for duplicate checking, updating, and processing. On a specific claim, a primary "match" data element value may change and prevent a match. When there is no match on one of the primary “match” data elements, secondary "match" data elements are used to match a claim. Refer to the Edit Matrix Match Data table for the application of primary and secondary Match Data elements, available on the Colorado Bureau of Workers' Compensation EDI Claims website: https://codowcedi.info/guide.
2. Changes to Match Data elements should be reported on a FROI 02 (Change) transaction before further reporting for the claim will be accepted. Only one Match Data element can be changed on a FROI 02 (Change) unless indicated otherwise on Match Data table on the 'Multiple element changes Category legend'.

G. DOWC EDI Reporting Requirements

The DOWC EDI Reporting Requirement Tables are based on the IAIABC Master Tables format. The tables are located at https://codowcedi.info/guide and are described below.

1. Event Table: The Event Table is designed to provide information integral for a Trading Partner to understand the Colorado's EDI reporting requirements. It relates

EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect Colorado's specifications relative to reporting requirements based on various criteria.

2. Element Requirement Table: The Element Requirement Table is designed to communicate DOWC's business data element requirements. Each data element requirement is defined for each report (FROI or SROI), down to the level of Maintenance Type Code based on Report Type criteria established on the Event Table.

3. Edit Matrix: The Edit Matrix describes editing that will be applied by the DOWC to incoming transactions.

   The Edit Matrix is designed to communicate the edits applied by DOWC to assist the Trading Partner in understanding the edits that will be applied and the data quality expected. The edits that are applied are based on the IAIABC standards and on DOWC's data requirements. They are based on the requirements that are indicated on the DOWC Element Requirement Table. The Edit Matrix contains the tables shown below.

   - *Accident Site County List contains a listing of all valid county spellings in CO*
   - *DN-Error Message* describes editing that will be applied to each data element.
   - *Legacy Claim Definition contains how CO defines a Legacy and Non-Legacy claim*
   - *Match Data* describes the data elements that will be used to determine if the report will create a new claim or find an existing claim or transaction in the DOWC database
   - *Population Restrictions* contains the DOWC restrictions applied to the data element(s).
   - *Sequencing* illustrates logical transaction sequencing for DOWC. Transaction sequencing refers to the order in which the MTCs must be sent in. For example, an IP will not be accepted by DOWC before a 00 original FROI has been accepted.
   - *Valid Value Detail Page 1* (Page 1 of 2) expresses the DOWC's acceptable code values presenting the code and the code description.
   - *Valid Value Detail Page 2* (Page 2 of 2) expresses the DOWC's acceptable code values presenting the code and the code description.
   - *Value Table* identifies code values accepted by DOWC.

H. Requirements for Becoming an EDI Trading Partner

1. Complete the Trading Partner Registration and receive approval from DOWC. To register, go to https://codowcedi.info/register. The Trading Partner Registration provides pertinent information to DOWC about the Sender, Receiver, Insurer and Claim Administrators and transmission protocol.
2. All Claims Administrators, Insurers, Self-Insured/Self-Administered Employers, and Third-Party Administrators who will be submitting data to Colorado via a Direct SFTP connection, Jurisdiction Web Entry, or EDI Vendor must complete a

New Colorado EDI Claims Release 3.1 Trading Partner Profile before testing can begin.

3. If you are an Insurer or Self-Insured Employer who uses a Third-Party Administrator, you are not required to register; however, you should ensure that your Third-Party Administrator includes your company on their Trading Partner Profile Registration.
4. Complete Testing Requirements outlined in this section titled Testing Procedures for Trading Partners. In some cases, if a Trading Partner is using a Vendor, the Vendor will coordinate and/or perform the testing on behalf of the Trading Partner. All Trading Partners are still required to register by completing the Colorado EDI Claims Release 3.1 Trading Partner Profile before testing can begin.

I. Data Delivery - SFTP (Secure File Transfer Protocol)

1. Trading Partners and Vendors will connect to an Secure File Transfer Protocol (SFTP) server hosted by Verisk to send FROI and SROI files and receive Acknowledgment files. To obtain a SFTP connection, each trading partner should first complete the trading partner registration and be approved by DOWC. Once approved as a Trading Partner, the appropriate access information will be provided.
2. Trading Partners may log into the SFTP server using the software or scripting system they have at their disposal and on the platform the Trading Partner is running.
3. FROI SROI Files: Trading partners will load their FROI/SROI files into the specified location provided by Verisk. Verisk will pick up the files and delete them from the specified location as they are processed.
4. Acknowledgment Files: Trading Partners are required to pick up their acknowledgment files from the specified location provided by Verisk. The Trading Partners are required to delete acknowledgment files from the specified location as soon as they have verified that they have been successfully received. It is important that the Trading Partner delete the file(s) as they will remain in the specified location which may result in multiple downloads of the same files.

J. Inbound File Names

1. DOWC recommends but does not require that files submitted to Verisk SFTP server should be named using the following convention to reduce the potential of files being overwritten.

   ST_InterchangeVersionID_SenderFEIN_SenderPC_DateTransSent_TimeTransSent_UniqueSeq.xml

   - ST: State Code = CO
   - Interchange Version ID:

- FROI Files: 14831
- SROI Files: A4931

2. Sender FEIN and Sender PC (Postal Code) will be that of the Trading Partner per the Header Record.
3. DateTransSent (YYYYMMDD) and TimeTransSent (HHMMSS) is date and time per the Header Record
4. Optionally, Unique Seq (Sequence): The Unique Seq (Sequence) Number For example: Starting with the FROI then SROI; first FROI 0001, then SROI 0002, and if additional files on a given day then FROI 0003, then SROI 0004.

K. Reporting Timelines

1. **Monday through Friday:** The cut-off for receipt of data from DOWC Trading Partners will be 11:59 PM EST. DOWC Trading Partners will receive acknowledgment files no later than 5:00 AM EST pending any unforeseen processing issues.
2. **Friday (after the cutoff), Saturday and/or Sunday:** Trading Partners can connect and upload FROI/SROI files and will receive acknowledgment files no later than 5:00 AM EST on Monday pending any unforeseen processing issues.

If you have not received an acknowledgement within that time frame and have not received an advisory e-mail, please contact the DOWC EDI Support Mailbox at codowcedi@verisk.com.

L. Acknowledgment Reports

1. There are two types of acknowledgments that are sent back to trading partners when First Reports of Injury or Subsequent Reports of Injury batches are processed. One is a batch level AKC and the other is the transaction level AKC.
2. The first type of AKC record occurs at the batch level only if the batch rejects. One AKC transaction will be sent with the HD level rejection. When a batch rejects, all of its content rejects.
3. The second type of AKC record occurs when a batch is not rejected. The transactions within the batch are processed and detailed level (transaction level) data is provided indicating whether the transaction has been accepted (TA) or rejected (TR). If the transaction represents the first filing (FROI 00) and is accepted, DOWC will return the Jurisdiction Claim Number (JCN) DN0005 on the AKC. The JCN should be captured and recorded for later use for subsequent filings. If a transaction is rejected, detailed error information is provided on the acknowledgment. It is the Trading Partner's responsibility to use this error information for consideration on next steps.
4. DOWC Acknowledgment files naming convention: ST_YYYYMMDD_HHMMSS_AK.xml

- ST State Code = CO
- YYYYMMDD: Current Date
- HHMMSS: Unique Time Stamp
- AK: Indicates Acknowledgment file
- xml: indicates a XML file.

Example: CO_20260809_131202_AK.xml

M. Testing Procedures for Trading Partners

1. DOWC EDI Trading Partners submitting data are required to complete the Test Plan.
2. Exceptions: No testing is required for DOWC Web Entry filers. In some cases, if a Trading Partner is using a Vendor, the Vendor will coordinate and/or perform the testing on behalf of the Trading Partner.
3. The DOWC Test Plan can be found at codowcedi.info, under Implementation Info. This Test Plan provides information for the expected results to be approved for production reporting. Please contact the DOWC EDI Support Team at codowcedi@verisk.com prior to sending any Test transaction(s), if you have any questions about the test and/or to confirm your testing readiness.

Section 7: Important Terminology and Acronyms

The following applies to this EDI Claims Implementation Guide and Trading Partner Tables posted on our website.

Acknowledgment

An EDI record sent from the jurisdiction to the trading partner's vendor in response to an EDI submission. It contains key data elements to identify the transaction and any technical and/or business issues discovered. This is known as an AKC.

Batch

A set of records containing one IAIABC header record, one or more FROI or SROI transactions, and one trailer record, ANSI equivalent is a batch. Any error in the header record or the trailer record will cause the rejection of the entire batch without further transaction level edits being applied.

Business Rules

The business requirements that dictate when a report is created, edited and when and how it is transmitted.

Claim Administrator

The organization that services workers' compensation claims according to Jurisdiction rules. An administrator may be an Insurer, a third-party administrator, an independent adjuster or a self-administered self-insured employer.

Data Element

A single piece of defined information (e.g. Date of Birth) contained within a transaction (i.e. FROI). Each data element is assigned a reference number (DN = Data Number) and includes a definition and format (length and data type) and if format is a code will list acceptable values or reference the code source (for example Employer FEIN is 9 AN).

DOWC

Colorado Bureau of Workers' Compensation. The entity overseeing workers' compensation laws in Colorado.

DOWC Vendor

For the purposes of this Implementation Guide, a Vendor is an EDI Service Provider authorized by DOWC to provide its products/services to DOWC's Trading Partners.

EDI

Electronic data interchange. It is computer-to-computer exchange of data or information in a standardized format. EDI claims is the electronic transmission of workers' compensation claims information from an authorized submitter whose clients may be insurers, self-insured employers, and third-party claims administrators to the state's workers' compensation regulatory agency.

Edit Matrix

Identifies the edits to be applied to each data element to ensure data quality expectations are met. The DOWC vendor applies the edits to transaction and submits to DOWC only transactions that have passed all edits and are accepted (TA).

Edited Data

A term used to describe the information on a transaction after it has been processed through the DOWC system edits and found to contain valid data.

Electronic Format

DOWC selected the IAIABC Claims Release 3.1 XML file format for EDI claim reporting.

Element Requirement Table

A tool used to communicate data elements required by the receiver specifying which elements are mandatory, expected, or ancillary. This allows for data element requirements to be defined for each record layout (FROI or SROI) and down to the level of each maintenance type code (MTC). Further, it provides for element requirements to differ based on report type criteria established in the event table.

Environment

The boundaries and conditions under which an application runs or in which files are manipulated or processed.

Event

A specific business event such as the occurrence of an accident, the initial payment of a claim, or denial of a claim. Events, when entered into a computer system, may be defined as a trigger for a Jurisdiction required report.

Event Table

A table designed to provide information integral for a sender to understand the receiver's EDI reporting requirements. It relates EDI information to events and under what circumstances they are initiated.

FEIN

Federal Employer Identification Number - This is a 9-digit number assigned to employers by the IRS.

FROI (148 Record)

First report of injury. Based on IAIABC Claims Release 3.1 standards FROI is a group of transactions occurring in the early stages of workers' compensation claim processing that typically report the parties involved and describe the accident and resulting injuries.

Header Record

The record that precedes each batch of EDI transactions. The header record and corresponding trailer record surround each batch of transactions and uniquely identifies the sender as well as the date/time a batch was created. See also: trailer record.

IAIABC

International Association of Industrial Accident Boards and Commissions. A not-for-profit trade association whose members are industrial accident, workers' compensation, or other governmental bodies as well as associate members comprised of other industry-related organizations and individuals. The IAIABC has authored EDI standards that cover the transmission of claims, proof of coverage, and medical bill payment information through electronic reporting.

IG

Implementation guide.

MTC

Maintenance type code. A code that identifies the purpose of an EDI transaction and is interchangeable with report type. The two-character MTC is included in all EDI transactions. For example: an original FROI is MTC 00.

Production

A designation that the submitter is sending transactions in a "live" environment after satisfactory completion of all EDI implementation testing as determined by DOWC.

Raw Data

The transaction and its contents as received from a submitter by DOWC and before the data is subjected to DOWC's EDI Claims Release 3.1 requirements.

Receiver

DOWC is the receiver of transactions from the submitter. The submitter is also the sender of transaction acknowledgments to the EDI trading partners who are the receivers of the transaction acknowledgments.

Self-Insured Employer

An employer authorized by the DOWC to self-insure its workers' compensation risk in accordance with applicable law, rules, and regulations.

Sender

An entity that submits FROI and SROI information in the IAIABC EDI Claims Release 3.1 format and receives EDI acknowledgments from DOWC. This entity is required to complete the trading partner Profile form. In addition, please see vendor.

SFTP

Secure file transfer protocol. A communications protocol governing the transfer of files from one computer to another over a network.

SROI (A49 Record)

Subsequent report of injury. Based on IAIABC EDI Claims Release 3.1 standards SROI is a group of transactions of workers' compensation claim processing that typically report the benefit, payment, return to work and closure data.

TA

Transaction accepted. Acknowledgment code indicating that a FROI or SROI has passed all event table, element requirement and edit matrix test for data timeliness and quality.

Testing Period

The initial environment in which the sender transmits a series of transactions that are analyzed for both technical and business content within the specified period.

Test Plan

A plan developed by DOWC and the sender EDI Coordinator outlining the events, time frame, and the responsibilities of each party for testing and evaluating data sent in the test environment.

TP

Trading Partner

TR

Transaction Rejected. Acknowledgment code indicating that a FROI or SROI has failed one or more event table, element requirement and edit matrix tests for data timeliness and quality.

Trading Partners

Two entities exchanging data electronically. For the purpose of this implementation guide, the two entities are the data requester/receiver (DOWC vendor and DOWC) and the data sender (i.e. DOWC vendor, claims administrator, self-insured, insurance carrier).

Trailer Record

A record that designates the end of a batch of transactions and provides a count of records/transactions contained within a batch. See header record.

Transaction

Submission of a FROI or SROI report that contains data elements as defined in the IAIABC record layouts which are found in the IAIABC EDI Claims Release 3.1 Implementation Guide and for records specific to DOWC, are found in the DOWC EDI tables posted on the DOWC's EDI website: https://codowcedi.info/

Transaction Type

Defines the transaction by the MTC submitted. For example: an initial FROI using MTC 00.

Transmission File

One or more batches sent together from the sender to the receiver.

Links to EDI Claims 3.1 Related Information

- Colorado Workers' Compensation Commission (DOWC) DWC home page: https://cdle.colorado.gov/dwc
- Colorado Workers' Compensation Commission (DOWC) EDI web page: https://codowcedi.info
- General EDI support questions should be sent to: codowcedi@verisk.com
- International Association of Industrial Accident Boards and Commissions (IAIABC): https://www.iaiabc.org/